



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/782,595	02/12/2001	David Funk	41803/DBP/C664

## CONFIRMATION NO. 9488

23363  
 CHRISTIE, PARKER & HALE, LLP  
 350 WEST COLORADO BOULEVARD  
 SUITE 500  
 PASADENA, CA 91105

## FORMALITIES LETTER



\*OC000000005857427\*

Date Mailed: 03/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/16/2001 MEBREM1 00000066 09782595

01 FC:101  
 02 FC:105

710.00 **DP**  
 130.00 **DP**



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on May 10, 2001.*

Alex Martinez

Applicant : David Funk  
Application No. : 09/782,595  
Filed : February 12, 2001  
Title : NETWORK MANAGEMENT ARCHITECTURE  
  
Grp./Div. : 2633  
Examiner : N/A  
  
Docket No. : 41803/DBP/C664

**LETTER IN RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

P.O. Box 7068  
Pasadena, CA 91109-7068  
May 10, 2001

Commissioner:

In response to the Notice to File Missing Parts of Application dated **March 13, 2001**, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. Check for **\$710.00**, for the filing fee and a surcharge check for **\$130.00**.
3. Executed Declaration and Power of Attorney.
4. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for **\$40.00**.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728.

Application No. 09/782,595

Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By D. Bruce Prout  
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam  
Enclosures

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